

BERNARD KÉROMNÉS AWARD FOR INNOVATION

BASES AND GUIDELINES.

I. GENERALITIES.

The purpose of this document is to provide the bases and guidelines under which the "**BERNARD KEROMNES AWARD FOR INNOVATION**" (hereinafter, the contest) organized by **GRANJAS CARROLL DE MÉXICO, S. DE R.L. DE C.V.**, will be governed. The mentioned contest will take place from **May 17, 2021** concluding on **October 8, 2021**.

To participate in the contest, the applicants must read and accept the terms and conditions established herein, as a prior step to the conclusion of the registration process.

It shall be understood that the terms and conditions established herein have been read and accepted by contestants when, having made these bases and guidelines available to them on the website www.bernardkeromnesaward.com, the contestants have concluded the registration process and have not stated their opposition to them.

II. OBJECTIVES.

For the interpretation and / or application of these bases and guidelines or to take any action or determination pursuant them, both the contestants and the jury must take into consideration, at all times, the objectives of the contest, which are the following:

1. Visualize innovation as one of the best tools to efficiently compete in national and international markets.
2. Reckon and connect people and organizations who have moved from speech to action in the pork meet production innovation business.
3. Promote innovation in Mexico so as to improve networking, relation and collaboration building between those experts and the rest of the key agents in the science, tech and innovation ecosystem.

III. CONTESTANTS.

1. Who may participate.

Eligible applicants are individuals whose area of expertise is related to meat sciences, as well as related fields; They are included but not limited to; Food Engineering, Chemistry, Veterinary Medicine and Zootechnics, Agronomic Engineering, Nutrition Sciences, or experts in the field of Sanitary Infrastructure design, etc.

The admission of the applicants will not be affected by academic level reasons, so university students, professionals and / or academics from any public or private institution may participate, either individually or in teams, with no limit of members. No participant may be part of two teams at the same time or participate individually and as part of a team at the same time.

The admission or rejection of the applicants will be decided by the jury in accordance with the requirements and causes of exclusion and / or disqualification detailed below.

2. Grounds for exclusion.

Grounds for exclusion prevent the applicant from entering the contest, particularly, the following:

- I. Not having duly complied with all the steps of the registration process for the contest or having carried them out in a poor way, or in an untimely manner.
- II. Submit an incomplete research and /or invention, or that does not meet the minimum formal and substantive requirements established in this document.
- III. Submit a research and /or invention outside the established term or by means other than those established in the call and/ or in this document.
- IV. Not having the ownership of the intellectual property rights of the invention and / or research works that are submitted in the contest, as well as of any

other whose ownership is necessary for the submission of the research or invention.

- V. The lack of acceptance of these bases and guidelines as well as the lack of signature of the document declaring ownership of the research and / or invention.
- VI. The lack of acceptance of the privacy policies made available on the website www.bernardkeromnesaward.com

The acceptance of these bases and guidelines will be governed in accordance with the provisions set for in section “I. GENERALS” of this document.

3. Grounds for disqualification.

Grounds for disqualification incapacitate contestants from remaining in the contest once his admission has been declared favorable, particularly the following:

- I. If having started the contest, any ground for exclusion arises or if it had existed prior to the admission of the participant and by mistake it would not have been noticed by the jury.
- II. Failure to grant the license for the use and exploitation of the research, trademark and / or invention, as appropriate and in the terms established for that purpose.
- III. In the case of trademark or invention licenses, these must be granted free of charge and for a minimum term of ninety-nine years.
- IV. In case of conflict of interest between any of the participants and any of the members of the jury.

In the event that the jury or any of its members considers that there is a conflict of interest between one of them and any participant, by virtue of having a personal, professional, or commercial relationship, the jury, by majority vote and excluding from the voting on the member with a possible conflict of interest, will determine how to proceed.

To issue the ruling by which the conflict described in the previous paragraph is resolved, the jury may disqualify the contestant in conflict or exclude the participation of the member of the jury in the evaluation of the corresponding work.

IV. PROJECTS (RESEARCH / INVENTION).

1. Generals.

All the works that are submitted within the contest, without exception, must belong to or be related to *meat sciences*, according to the terms set for in section III of these guidelines and must be in accordance with the objectives established in section II of this document.

Works may be presented in either of two languages, English or Spanish, however, in all cases, they must be accompanied by an executive summary in English (with a maximum length of three pages) which will be a minimum requirement of admissibility in the contest and will be taken into account for the evaluation carry out by the jury.

There will not be required a minimum extent, nor minimum technical or substantive requirements for the admission of the works, however, they must be submitted complete and concluded.

Works must be submitted through the website www.bernardkeromnesaward.com following the registration process indicated therein. All the works, documents and annexes must be submitted in PDF format.

Application system will be open for work submission from **May 17, 2021** to **July 15, 2021**. Works submitted after the completion date of the reception or those submitted in contravention of the guidelines established in this document and / or in the registration process, will not be accepted.

2. Categories.

There will be two categories of works: one for inventions and another for research. Categories will be only considered to determine the committee that will evaluate the work, and its classification as well as any doubt regarding it will be determined by the jury.

V. EVALUATION CRITERIA.

For the evaluation carried out by the jury on the research and / or invention works, the following criteria will be considered and will guide the determinations of the jury in relation to the results of the contest. The value of each criteria will be assigned and determined in each case at discretion of the jury:

1. Potential Innovation Results: Defined as the expected effect of the prototype in an organization (not necessarily the organization the prototype is already operating). Potential financial results, potential market performance and technological capabilities will be considered.
2. Technological merit: Defined as the technological distinctive and national development involved in the prototype engineering. Prototype distinctive features, areas of influence, capitalization of generated knowledge and networking will be considered.
3. Technological management: Defined as the evidence of process and practices' orientation towards an aimed competitive advantage generation. Environment knowledge, projected commercial and technological performance, input securement or any other means for innovation will be considered.
4. Impact and Sustainability: Defined as the prototype's expected effect in life quality and knowledge improvement.

VI. STAGES OF THE CONTEST.

Once the formal and substantive requirements for the admission of the works submitted have been verified and fulfilled, works will be evaluated by the

corresponding scientific committee, according to the category in which the work has been registered.

The evaluation of the works will be carried out in accordance with the stages described below:

1. The first stage.

The first stage of evaluation will start on **July 15, 2021** with the study of the executive summaries that have been submitted alongside the invention and / or research work.

Based on the evaluation results, each committee will decide whether to proceed with the in-depth study of the work. If it deems it necessary, the corresponding committee may summon the contestant to support their work through a question-and-answer session.

The question-and-answer session will be carried out only if the jury decide it is necessary. In this latter case, by carrying out the question-and-answer session the second stage of the contest will be started.

2. The second stage.

The second stage of the contest, consisting of the question-and-answer session, may or may not be carried out according to the criteria of each committee. The resolution of whether or not the second stage is carried out will be taken in relation to each of the contestants, individually.

The purpose of the question-and-answer session is that the contestants contribute more elements to justify that their work successfully satisfies the evaluation criteria and that it meets the objectives of the contest, so that the members of the jury will be able to ask any type of questions related to the work.

By e-mail, the jury will summon the contestants who must support their work through the question-and-answer session, on said e-mail, the date, time and virtual platform on which the session will take place will be indicated.

3. The third stage.

Whether or not the question-and-answer session or sessions have been carried out, concluding the evaluation of the works, and / or, where appropriate, the sessions, the third stage will begin, which will consist of announcing the finalists of the contest, by personal notification to the email they have provided for this purpose. In said notification, the finalists will be informed that they have passed to the last stage of the contest.

Subsequently, the jury will evaluate the finalist works and will issue the resolution with the final results, choosing the winners, as well as the places that will correspond to them.

Said results will be notified to the winners on **September 17, 2021** by means of a personal notification to the e-mail provided for that purpose. Likewise, the results will be published on the same date by means of an announcement on the website www.bernardkeromnesaward.com.

In both cases, the date, time and place or platform on which the award ceremony will take place will be informed.

Prior to the award ceremony, the signing and execution of the licenses or assignments of intellectual property rights will be coordinated, as the case may be. The granting of the consent/acceptance in said documents will be compulsory for receiving the prizes.

VII. JURY (SCIENTIFIC COMMITTEES).

1. Generals

The jury will be in charge of evaluating the works submitted by the contestants and it will be made up of two scientific committees; one committee will be in charge of evaluating the invention works and the other, will be in charge of evaluating the research works.

The members of the scientific committees will be chosen and determined according to the nature and area of knowledge of the works submitted, with the exception of Mr. Lars Leopold Hinrichsen, who will be member of the jury at all times, prior to the submission of the works and will be the president of both committees.

The selection of the members that will be part of the scientific committees will begin once the stage of reception of works has been concluded. The power to choose the members of the jury, the number of them, as well as their distribution in the respective scientific committees will correspond at all times to the president of the jury.

When all the jury members have been selected by the president of the jury, the final integration of each of the scientific committees, as well as the number, names and area of specialization of its members will be informed by means of an announcement published on the website www.bernardkeromnesaward.com.

2. Operation of the jury.

Once integrated, the scientific committees will each function in assembly and decisions will be issued by majority vote. In the event of a divided opinion, the vote of the president of the jury will determine the direction in which the decision must be issued.

Prior to the integration of the scientific committees, the president of the jury will have all the powers that the jury as a whole has and its decisions will have the same effects.

3. Powers of the jury.

In addition to the all the powers mentioned in this section, the jury will have the following:

- I. Accept or reject the works of invention and / or research submitted, in accordance with the requirements established for that purpose, as appropriate.
- II. Evaluate the works of invention and / or research according to the criteria established for that purpose.

- III. Apply and execute the grounds for exclusion or disqualification established in this bases and guidelines document.
- IV. Determine the measures and / or actions to be taken in the event of unforeseen circumstances, force majeure or any unforeseen event of any nature that alters the normal course of the contest.
- V. Issue the decisions that determine the results of the contest, which includes but is not limited to (i) the admission or rejection of the works (ii) the admission or rejection of contestants (iii) the determination of actions in case of unforeseen events (iv) the selection of the winners and their corresponding places.
- VI. Any other necessary for the fulfillment or realization of the objectives of the contest.

The rulings issued by the jury may be modified or revoked by the jury itself in case of errors. Contestants may make a free writing to report errors in the rulings; however, such complaints will not be binding for the jury and the rulings of the latter will be unappealable in all cases.

VIII. DECLARATION, LICENSE AND ASSIGNMENT OF RIGHTS.

Prior to the submission of the works and as an essential part of the registration process, applicants must sign the document of declaration of ownership of the work and / or invention and the disclaimer, by means of which they will declare under oath of telling the truth, to be holders of all the intellectual property rights of the work of invention and / or research that they are submitting.

Said documents, as well as the steps to follow for its signature and submission, will be available on the website www.bernardkeromnesaward.com.

The winners of the first three places must, as a compulsory requirement to receive the prize, grant a license to **GRANJAS CARROLL DE MÉXICO S. DE R.L. DE C.V.** for exclusive use of the invention submitted, as well as trademarks involved, if applicable. Said licenses must be free and must be granted for a minimum term of ninety-nine years.

Where appropriate, the contestant must also grant a license for use and exploitation including all the copyrights that derive from the work or works that have been submitted within the contest. The consideration for the granting of said license (s) will be considered paid with 1% of the total amount of the economic stipendium obtained as a prize, that is, the granting of the prize will also cover the concept in question, if applicable. Said license will have to be granted for a minimum term of 15 years.

Without limiting the effectiveness of the tacit acceptance of these bases and guidelines and the privacy notice that is available on the page www.bernardkeromnesaward.com, winners of the first three places must grant their express written acceptance to the terms of these guidelines and the mentioned notice.

IX. CONTINGENCIES.

In the event of any inconvenience due to unforeseeable circumstances or force majeure or due to any other setback of any nature that could delay or alter the normal course of the contest, the jury will determine how to proceed.

The ruling issued by the jury by which the above mentioned cases are resolved will be notified to the contestants by means of an announcement that will be published on the website www.bernardkeromnesaward.com, as well as by means of a notification that will be sent to the email that the contestants had previously provided for such purposes.

X. AWARDS

The prizes will be awarded in accordance with the final ruling issued by the jury and will consist, in addition to a public mention in a ceremony for the first three places, in economic stipendiums that will be awarded as follows:

- First place. **USD \$ 5,000.00** (Five thousand American Dollars)
- Second place. **USD \$ 3,000.00** (Three thousand American Dollars)

- Third place. **USD \$ 2,000.00** (Two thousand American Dollars)

The prizes consisting of economic stipendiums will be awarded for winning work, therefore, works that have been submitted as a team and that are winners will receive the entire economic stipendium per team and not individually and / or per team member.

In the latter case, the distribution of the amount of the economic stipendium will be determined by the team members themselves.

In the event that the license for the use and exploitation of the work contemplated in section VIII of this document is granted, 1% of the total amount of the prize will constitute the consideration for the license, however, said amount will be deducted from the total amount of the prize, so that with the delivery of the award, both concepts will be understood to have been duly paid, the delivery and the consideration for the license.

The winners of the first three places will be chosen from the total of works that had passed to the last stage of the contest and not for each of the existing categories.

There will be no ties and there will be no two winners for the same award.

The prizes consisting of economic stipendiums will be delivered on **October 8, 2021** by electronic transfer to the bank account that the contestant had provided for this purpose, as long as all the terms and conditions established in this document have been met.

XI. AWARD CEREMONY

The public award ceremony will take place virtually on **October 8, 2021**. The date and the platform on which the ceremony will take place will be announced in the notifications that communicate the final results of the contest.

48 hours before the award date, the link to enter the platform will be sent by email to the winners. The virtual invitation will be personal, however, more places to attend the award ceremony may be granted upon request from the applicant and authorization from the organizer.